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U.S. PTO

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\*Admitted only in Virginia  
\*Practice Limited to  
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March 17, 2004

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Mail Stop Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. *To Be Assigned*; Filed: March 17, 2004  
For: **Facially Amphiphilic Polymers And Oligomers And Uses Thereof**  
Inventors: DeGRADO *et al.*  
Our Ref: 1694.0630003/JMC/AES

Sir:

The following documents are being submitted under 37 C.F.R. § 1.53(c) herewith for appropriate action by the U.S. Patent and Trademark Office:

1. USPTO Utility Patent Application Transmittal Form PTO/SB/05;
2. U.S. Utility Patent Application entitled:

**Facially Amphiphilic Polymers And Oligomers And Uses Thereof**

and naming as inventors:

William F. DeGRADO  
Dahui LIU  
Gregory N. TEW  
Michael L. KLEIN  
Jing YUAN  
Sungwook CHOI

the application consisting of:

- a. An Application Data Sheet (37 C.F.R. § 1.76);
- b. A specification containing **190** total pages:

- i. 155 pages of description prior to any claims;
  - ii. 34 pages of claims (Claims 1-65);
  - iii. a one page abstract; and
- c. 20 sheets of drawings: (Figures 1-20); and
3. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3);
4. Two (2) return postcards.

The name of the assignee is The Trustees of the University of Pennsylvania.

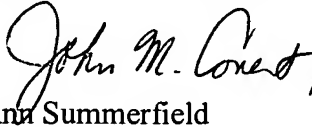
Correspondence should be sent to Customer Number 26111.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

 Reg No. 38,759  
Ann Summerfield  
Attorney for Applicants  
Registration No. 47,982

AES/jk  
241830v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DeGRADO *et al.*

Appl. No.: *To Be Assigned*

Filed: March 17, 2004

For: **Facially Amphiphilic Polymers  
And Oligomers And Uses Thereof**

Art Unit: *To Be Assigned*

Examiner: *To Be Assigned*

Atty. Docket: 1694.0630003/JMC/AES

**Authorization to Treat a Reply as Incorporating an  
Extension of Time Under 37 C.F.R. § 1.136(a)(3)**

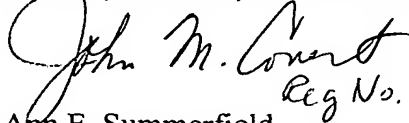
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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Date: March 17, 2004  
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## Addendum

1. The name of the assignee is: The Trustees of the University of Pennsylvania